

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Kimberly A. Gillis et al.

Application No.: 09/997,424

Confirmation No. 6432

Filed: November 28, 2001

For: Expression Analysis of Smarc Nucleic Acids And Polypeptides Useful In The Diagnosis And

Treatment of Prostate Cancer

Attorney Docket No.: 102729-16

Group Art Unit: 1614

Examiner: Not Yet Assigned

# TRANSMITTAL LETTER

Box Sequence U.S. Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

Dear Sir:

Enclosed are the following documents for filing in connection with the above-referenced patent application:

- 1. Transmittal Letter (in duplicate);
- 2. Response to Notice to File Missing Parts for NonProvisional Application (in duplicate);
- 3. Two Executed Declaration, Petition and Powers of Attorney;
- 4. Petition for One-Month Extension of Time (in duplicate);
- 5. A copy of the Notice to File Missing Parts for NonProvisional Application;
- 6. A copy of Fee Transmittal of patent application;
- 7. Hard Copy Form of Computer Readable Sequence Listing (1pg.);
- 8. Statement Under 37 C.F.R. §1.821(f);
- 9. Diskette Containing Computer Readable Sequence Listing;
- 10. A check in the amount of \$292.00 (\$130.00 for surcharge and \$162.00 for additional claims); and
- 11. Return Receipt Postcard.



The Commissioner is hereby authorized to charge any underpayments or overpayments in connection with this filing to our Deposit Account No. 141449, Reference No. 102729-16, Customer No. 021125. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: U.S. Patent and Trademark Office, Box Sequence, P.O. Box 2327, Arlington, VA 22202 on:

Date

Jasbir Sagoo, Ph.D., Reg. No. 51,177

Respectfully submitted,

NUTTER, MCCLENNEN & FISH, LLP

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Certificate of First Class Mailing

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Date of Signature and of Mail Deposit

By:

Jasbir Sagoo, Ph.D., Reg. No. 51,177

Agent for Applicants

Box Sequence U.S. Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Dear Sir:

In Response to the Notice to File Missing Parts of NonProvisional Application dated
February 13, 2002, Applicant's agent submits herewith two Executed Declaration, Petition and Powers of
Attorney for Patent Application for the above-identified patent application. A check in the amount of
\$292.00 based on large entity status is enclosed to cover the cost of the surcharge fee (\$130.00) and
(\$162.00) for additional claims fee. On November 28, 2001, a check in the amount of \$1316.00 was
submitted with filing of patent application. The difference of \$162.00 is owed to the U.S. Patent and

Trademark Office. (A copy of the Fee Transmittal of patent application is enclosed). A copy of the Notice To File Missing Parts of Nonprovisional Application is also enclosed. Additionally, Applicant's agent submits herewith the Sequence Listing in hard copy form with a Statement Under C.F.R. §1.821(f) and a Diskette containing the hard copy form of Sequence Listing.

The Commissioner is hereby authorized to charge payment of any additional fees in connection with this communication or credit any overpayment to our Deposit Account No. 141449, Reference No. 102729-16. A duplicate copy of this document is enclosed.

Respectfully submitted,

NUTER, MCCLENNEN & FISH, LLP

Jastir Sagoo, Ph.D., Reg. No. 51,177

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Tel: (617) 439-2994 Fax (617) 310-9994

Dated: May 13, 2002

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## United States Patent and Trademark Office

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/997,424

11/28/2001

Kimberly A. Gillis

102729-0016

021125 NUTTER MCCLENNEN & FISH LLP ONE INTERNATIONAL PLACE BOSTON, MA 02110



CONFIRMATION NO. 6432
FORMALITIES LETTER
\*OC000000007466839\*

Date Mailed: 02/13/2002

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$84.
  - \$84 for 5 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The balance due by applicant is \$ 214.

6292.00

\$130.00 - \$1.

4292.00

A copy of this notice <u>MUST</u> be returned with the reply.

Ch. Con L Cl Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/20/2002 BABRAHA1 00000037 09997424

01 FC:105 02 FC:103 . 130. OP 162.00 OP Adjustment date: 06/24/2002 YGIZAW 05/20/2002 BABRAHA1 00000037 09997424 02 FC:103 -162.00 GP

102

) 06/24/2002 YGIZAN 00000002 09997424

01 FC:102

168.00 OP